

INSULIN-LIKE GROWTH FACTOR SYSTEM AND CANCER

BACKGROUND

1. Field of the Invention

This invention relates to the measurement of the IGF-axis component levels and tumor marker levels for use in assessing cancer risk and/or progression and/or distinguishing between cancer and other non-malignant disorders. The invention is exemplified with prostate cancer (CaP) and benign prostatic hyperplasia (BPH), the tumor marker prostate specific antigen (PSA), and the insulin-like growth factors (IGF) and their binding proteins (IGFBP). Specifically, IGF-I, intact IGFBP-3, fragment IGFBP-3, total IGFBP-3, free PSA and total PSA were assayed and certain permutations of these measurements were found to present improved diagnostic indicators. The method is predicted to have general applicability to other IGF-system related cancers.

2. Description of the Prior Art

The insulin-like growth factor (IGF) family of high affinity IGF binding proteins (IGFBP-1-6) (1-4) has recently evolved to a superfamily status in order to accommodate a related group of newly discovered low affinity IGFBPs called the IGFBP related proteins (5). The conventional view of 15 IGFBPs as the sole regulators of IGF bioavailability and bioactivity has also evolved to include the IGF-independent properties of IGFBPs (6, 7). IGFBPs, particularly IGFBP-3, have been recently identified as potent apoptotic agents (8-12), presumably mediating the effects of cellular growth 20 suppressing mechanisms (8, 11, 12). The emerging new concept appears to similarly broaden the pathophysiological roles of the IGF peptides to include their potential involvement in regulation of the IGFBPs' bioactivity (8). In this ever-expanding maze of reciprocal molecular interactions, post-translational modification by selective proteolysis is rapidly gaining acceptance as the key modulator

of the IGF/IGFBP system and a major determinant of their effects on cellular growth and metabolism (13, 14).

5 Insulin-like growth factors (IGF-I and -II) are mitogenic and anti-apoptotic agents produced primarily by the liver and locally by a wide variety of tissues. IGFs circulate mostly complexed with IGFBP-3, which in association with the acid-labile subunit (ALS) forms an approximately 150 kD ternary protein complex (1-4). Under normal conditions, nearly all of the circulating IGFs remain ternary complexed (75-80%), and smaller proportions (20-25%) are associated with the low molecular weight IGFBPs (IGFBP-1, IGFBP-2, IGFBP-4, IGFBP-5, and IGFBP-6) or exist in the free form (1-4).

10 Dysregulation and/or over-expression of the IGF system have been long implicated in the etiology of both benign and malignant proliferative disorders (3, 4, 15-19). Malignant cells of various origins have been shown to express various components of the IGF system (3, 4, 11-13, 18-22), and increased IGF-I levels, as seen in acromegaly, have been found in association with benign prostatic hyperplasia (BPH) (23, 24) and colonic tumors (25, 26). High levels of circulating IGF-I has been 15 more recently identified as risk factors for the development of prostate, breast, and lung cancers (27-30), while over-expression of both IGF-I and IGF-II has been linked to colorectal cancers (31). In prostate, both benign and malignant cells have been found to express IGFs, IGFBPs and their respective receptors (18, 23). IGF-I has been shown to promote prostate cell growth, while prostate specific antigen (PSA) has been identified as an IGFBP-3 protease, presumably capable of augmenting 20 tissue access to the IGF peptides (18, 23, 32).

In men over 50 years of age, cancer of the prostate (CaP) and benign prostatic hyperplasia (BPH) are among the most commonly diagnosed malignant and benign proliferative disorders, respectively (33). However, serum levels of PSA, the most reliable predictor of CaP available to date, is also increased in BPH, resulting in a diagnostic “gray-zone” in the PSA range of ~ 4-10 µg/L (34).

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In addition, PSA levels of less than 4 $\mu\text{g/L}$ does not necessarily indicate disease-free status because significant numbers of men with organ-confined CaP reportedly express normal PSA levels (35). These significant limitations of PSA testing invariably result in a diagnostic dilemma, allowing for loss opportunity for early cancer detection, or unnecessary surgical approaches to a readily treatable 5 benign disorder. Although the ratio of free/total PSA levels in serum is significantly reduced in CaP and its determination is now used to heighten the diagnostic accuracy of PSA testing (36, 37), there is still a great need to further improve our ability to discriminate between BPH and prostate cancer (35).

SUMMARY OF THE INVENTION

Abbreviations and Definitions

ACT - alpha-1-antichymotrypsin.

ALS - Acid Labile Subunit. A protein found in the 150 kD ternary complex wherein most of the circulating IGF-I is found. ALS is sensitive to inactivation by acid, urea and certain detergents.

Body fluid - Any biological fluid, including but not limited to the following: serum, plasma, lymph 15 fluid, synovial fluid, follicular fluid, seminal fluid, amniotic fluid, milk, mammary fluid, whole blood, urine, spinal fluid, saliva, sputum, tears, perspiration, mucus, tissue culture medium, tissue extracts and cellular extracts. Preferably, the body fluid is blood, plasma, serum or seminal fluid.

BHP - benign prostatic hyperplasia.

CaP - cancer of the prostate.

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DHT - Dihydrotestosterone.

GH - Growth hormone.

GHBP - GH binding protein.

IGF - Insulin-like Growth Factor.

IGF-axis components - Those components that modulate the IGF/GH cascades including GH, GHBP, GH receptor, IGF I and II, IGF receptors, IGF proteases, IGFBP-1 through -6 and the IGFBP related proteins IGFBP-rP-1-9, IGFBP proteases, ALS, IGF and GH receptor antagonists, and the like. Altered levels of IGF axis components are known to be associated with a variety of malignant diseases, including breast, ovarian, endometrial, colorectal and prostate cancer, as discussed herein, and also with papillary thyroid cancer, Wilms tumor and possibly other CNS tumors, choroid plexus papilloma, meningiomas, hepatocellular carcinoma, rhabdomyosarcoma, gastric carcinomas, liver cancer, and colon cancer, leukemias, pituitary adenomas and tumors, and lung cancer.

IGFBP - Any IGF binding protein, including IGFBP-1 to 6 and the IGFBP related proteins IGFBP-1 to 9.

IGFBP-3 - The major circulating IGF binding protein. Intact IGFBP-3 refers to that portion of the total IGFBP-3 which is undegraded (and exists mainly in complexed form). Fragment IGFBP-3 refers to the fragmented forms of IGFBP-3. With the assay described herein, amino terminal fragments of IGFBP-3 that lack the carboxyl terminal amino acids are detected as fragment IGFBP-3.

Total IGFBP-3 refers to complexed, uncomplexed, intact and fragmented IGFBP-3. The various

forms of IGFBP-3 are referred to collectively as "IGFBP-3 variants."

Indicator ratio - As used herein a ratio of the measured levels of IGF axis components with or without kallikrein-like components, such as PSA, which is useful to distinguish between benign and cancerous conditions or useful in monitoring the progression of a cancerous disease. The specification teaches how to evaluate various permutations of measurements of IGF axis components and tumor markers and test for clinically significant associations. For example, an indicator ratio of IGF-I/free PSA means the concentration of IGF-I divided by the concentration of free PSA.

Kallikrein - A group of serine proteases with homology to PSA, including at least K1, K2, and preprokallikreins. "Kallikrein-like proteins" includes the kallikreins and various forms of PSA.

PSA - Prostate specific antigen. Free PSA is the fraction of PSA that is not complexed with other proteins, such as ACT. Total PSA is free PSA and complexed PSA.

Ratio - Any ratio referred to herein expressly refers to and includes the inverse ratio. Thus if the ratio of IGF-I/free PSA is informative about a particular disease state, of course the ratio of free PSA/IGF-I will be equally informative.

15 **SHBG** - Sex hormone binding globulin.

T - Testosterone.

Tumor Marker - As used herein, the term includes any marker associated with tumors or tumor progression, including PSA and kallikrein. Other tumor markers are known, measurements of any of which may be combinable with measurements of IGF axis components to provide increased

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discriminating power. An exemplary listing of potential tumor markers that might be useful together with measurement of IGF axis components includes: S-100 protein, C219, GCDFP-15/gp17, riboflavin carrier protein (RCP) and other vitamin carrier proteins (VCP), human chorionic gonadotropin (hCG), alpha-fetoprotein (AFP), lactate dehydrogenase, cytokeratin 19 fragment (CK19) or CYFRA 21-1, carbohydrate antigen 19.9 (CA19.9), macrophage-colony stimulating factor (M-CSF), abnormal prothrombin (PIVKA-II), tissue polypeptide antigen (TPA), carcinoembryonic antigen (CEA), cancer antigen (CA) 125, CA72-4, CA15-3, squamous cell antigen (SCC), neuron specific enolase (NSE), focal adhesion kinase (FAK), soluble CD44 (sCD44), soluble CD30 (sCD30), tissue polypeptide specific antigen (TPSA), total alkaline phosphatase (T- ALP), urinary Dpd/creatinine (Cre) ratios, bone specific alkaline phosphatase (B-ALP), N-acetylneurameric (Neu5Ac), vascular endothelial growth factor (VEGF), glutathione peroxidase, melanoma antigen (MAGE), mesothelin and megakaryocyte potentiating factor (MPF), cyclin-dependent kinase inhibitor p27 (Kip1), PGP9.5, proliferating cell nuclear antigen (PCNA), Cyclin D1, epidermal Growth Factor (EGF), transforming growth factor alpha (TGF alpha), estrogen receptor-related protein (ERRP), multidrug resistance marker (MDRM), protein kinase C (PKC), Gs alpha, inhibin, cathepsin D, H19, the steroid hormones, p53, and cytokines and interleukins.

The invention in its broadest sense consists of a method of predicting cancer in a patient measuring at least two IGF axis components and a tumor marker. The measurements are combined in statistically significant permutations, as described herein, to provide an improved means of discriminating between cancerous and non-cancerous conditions.

More particularly, the invention provides a diagnostic tool for discriminating between benign and malignant disease. The tool is an indicator ratio which is a concentration ratio such as IGF/kallikrein-like protein, IGFBP/kallikrein-like protein, IGF/IGFBP/kallikrein-like protein, (intact IGFBP/total IGFBP)/kallikrein-like protein, and (IGF + IGFBP)/kallikrein-like protein.

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The indicator ratio may also be IGF-I/free PSA, intact IGFBP-3/free PSA, (IGF-I/total IGFBP-3)/free PSA, (intact IGFBP-3/total IGFBP-3)/free PSA, and (IGF-I + intact IGFBP-3)/free PSA. It has also been discovered that intact IGFBP-3 is a valid indicator of prostate CaP and this marker may be used alone or may be combined with existing tumor marker measurements or ratios, such as free PSA or free/total PSA. The diagnostic tool can distinguish between benign conditions and lung cancer, breast cancer, colon cancer or prostate cancer.

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The tool can also be used in method of predicting cancer in a patient, wherein the method comprises the determination of one or more of the above indicator ratios. The indicator ratio is compared to the ratio obtained in a normal patient population and significant deviations from the norm indicate cancer. The method can also be used to monitor the progression of disease.

BRIEF DESCRIPTION OF FIGURES

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Figure 1. Percentile distribution plots. Percentile distribution of IGF-I (Figure 1A), intact IGFBP-3 (Figure 1B), and free PSA (Figure 1C) levels measured in patients with BPH (n = 75) and CaP (n = 84) are shown.

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Figure 2. Relationship of IGF-I, intact, and total IGFBP-3 with free PSA. Correlation of IGF-I (Figure 2A), total IGFBP-3 (Figure 2B) and intact IGFBP-3 (Figure 2C) levels in subjects with BPH vs the corresponding free PSA levels are shown. Values are mean of duplicate measurements.

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Figure 3. Relationship of intact, fragment and total IGFBP-3 with IGF-I. Correlation of total IGFBP-3 (Figure 3A), intact IGFBP-3 (Figure 3B), and fragment IGFBP-3 (Figure 3C) levels measured in subjects with BPH vs the corresponding IGF-I levels are shown. Values are mean of duplicate measurements.

Figure 4. Relationship of intact, fragment and total IGFBP-3 with IGF-I. Correlation of total IGFBP-3 (Figure 4A), intact IGFBP-3 (Figure 4B), and fragment IGFBP-3 (Figure 4C) levels measured in subjects with CaP vs the corresponding IGF-I levels are shown. Values are mean of duplicate measurements.

Figure 5. Distribution of various concentration ratios in BPH and CaP patients. Box plot of IGF-I/free PSA (Figure 5A), intact IGFBP-3/free PSA (Figure 5B) and (IGF-I/total BP3)/free PSA (Figure 5C) ratios in subjects with BPH and CaP. The median (centerline) and the 95% limits about the median are shown. Abbreviations are described in footnote to Table 1.

Figure 6. Distribution of various concentration ratios in BPH and CaP patients. Box plot of intact (IGFBP-3/total IGFBP-3)/free PSA (Figure 6A), (IGF-I + intact IGFBP-3)/free PSA (Figure 6B), and free PSA/total PSA (Figure 6C) ratios in subjects with BPH and CaP. The median (centerline) and the 95% limits about the median are shown. Abbreviations are described in footnote to Table 1.

Figure 7. Receiver operating characteristics (ROC) curves. Comparative potential of IGF-I and IGF-I/free PSA ratio relative to free PSA/total PSA ratio in discriminating between BPH and CaP patients is shown. 1- Specificity (1 - [true negatives/true negatives + false positives]) versus sensitivity (true positives/true positives + false negatives) is plotted. The corresponding area under the curve (AUC) and confidence intervals (CI) are described in the text. Abbreviations are described in footnote to Table 1.

Figure 8. Receiver operating characteristics (ROC) curves. Comparative potential of (IGF-I/total IGFBP-3)/free PSA and IGF-I/total IGFBP-3 ratios relative to free/total PSA ratio in discriminating between BPH and CaP patients is shown. 1- Specificity versus sensitivity is plotted,

as in Figure 7. The corresponding area under the curve (AUC) and confidence intervals (CI) are described in the text. Abbreviations are described in footnote to Table 1.

5 **Figure 9. Receiver operating characteristics (ROC) curves.** Comparative potential of IGF-I + intact IGFBP-3 and (IGF-I + intact IGFBP-3)/free PSA ratio relative to free PSA/total PSA ratio in discriminating between BPH and CaP patients is shown. 1- Specificity versus sensitivity is plotted, as in Figure 7. The corresponding area under the curve (AUC) and confidence intervals (CI) are described in the text. Abbreviations are described in footnote to Table 1.

10 **Figure 10. Receiver operating characteristics (ROC) curves.** Comparative potential of intact IGFBP-3/free PSA, intact IGFBP-3/total IGFBP-3, and (intact IGFBP-3/total IGFBP-3)/free PSA ratios in discriminating between BPH and CaP patients is shown. 1- Specificity versus sensitivity is plotted, as in Figure 7. The corresponding area under the curve (AUC) and confidence intervals (CI) are described in the text. Abbreviations are described in footnote to Table 1.

DETAILED DESCRIPTION OF THE INVENTION

15 Generally speaking, the invention is directed to improved diagnostic indicators of malignant disease states which involve measurement of various permutations of IGF axis components together with tumor markers in order to provide increased diagnostic accuracy. The invention is exemplified with prostate cancer and the analytes: IGF-I, intact, fragment and total IGFBP-3, and free and total PSA. However, the invention can be broadened to other IGF axis components and possibly to other 20 tumor markers as well. If other tumor markers prove useful in the way that PSA and IGF axis components have proved useful, then the invention will be applicable to other cancers, and several reasonable predictions in this regard are provided.

Specifically, the permutations of IGF-I/free PSA, intact IGFBP-3/free PSA, (IGF-I/total IGFBP-3)/free PSA, (intact IGFBP-3/total IGFBP-3)/free PSA, and (IGF-I + intact IGFBP-3)/free PSA and measurement of intact IGFBP-3 alone have been shown to be useful indicators of prostate cancer. Further, these indicator ratios together with the ratio of free/total PSA in multivariate analysis provides improved discriminating potential than does the ratio of free/total PSA alone.

Example 1.

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In view of the growing evidence describing association of the IGF system with cancer, we used prostate cancer as a model and investigated differences in serum levels of IGF-I and intact, fragment and total IGFBP-3 in a group of patients with BPH and CaP. The age-matched patient populations were carefully selected to have total PSA in the diagnostic gray-zone range. Because of the highly complex nature of the IGF regulation, particularly involving proteolysis (13, 14), we postulated that investigation of IGF-I and IGFBP-3 variants in relation to levels of PSA in serum might help identify innovative approaches for enhancing differential BPH/cancer detection. As the IGF system appears to be more widely associated with malignancy (3, 4, 11-13, 18-22), we further speculated that the present approach could have broader application in human cancer diagnostics and monitoring.

Patient population and samples Serum samples from 159 patients with benign prostatic hyperplasia (BPH, 75 males aged 55-75; mean age \pm SD, 65.6 \pm 6.0) or prostate cancer (84 males aged 52-75; mean age \pm SD, 64.8 \pm 6.2) were provided by Dr. E. P. Diamandis (Mount Sinai Hospital, Toronto, Ontario). The samples were from patients with total PSA levels between 1.75-13.5 μ g/L and with histologically confirmed disease status at biopsy. All specimens were residuals from routine or research test samples and were stored frozen at -70°C, with less than three freeze/thawing cycles prior to analysis.

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Analytical methods IGF-I, intact IGFBP-3, fragment IGFBP-3 and total IGFBP-3 were assayed by ACTIVE enzyme-linked immunosorbent assay (ELISA) kits manufactured by Diagnostic Systems Laboratories, Inc. (DSL, Inc., Webster, TX). These assays are based on non-competitive ELISA involving a solid-phase capture antibody and a soluble horseradish peroxidase (HRP)-labeled detection antibody. The DSL IGF-I ELISA employed is a modification of a previously described method involving acid-ethanol extraction (38, 39) and measures total IGF-I. The assay incorporates a 101-fold sample pretreatment (acid-neutralization) dilution factor and a total incubation time of less than 3 hours, and demonstrates an overall variance of less than 10% (39, 40).

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The intact, fragment and total IGFBP-3 ELISAs were developed based on our knowledge of antibody binding specificity and IGFBP-3 complex epitope recognition derived by systematic evaluation of 10 different IGFBP-3 monoclonal antibodies in four different binding experiments (41), including further performance assessment using a polyclonal detection antibody. The IGFBP-3 ELISAs incorporate identical components and protocols, and involve a common monoclonal capture antibody in combination with a polyclonal (ELISA-3) or two different monoclonal (ELISA-1 and -2) detection antibodies in a manner previously reported for development of total and non-phosphorylated IGFBP-1 (42). These assays also include a 101-fold sample pre-dilution and total incubation times of about 3 hours, and their analytical specification and performance characteristics have been recently described (43, 44).

20 Concentrations of free and total PSA were determined by the Hybritech Tandem-R total and

free (45) non-competitive immunoradiometric (IRMA) methods (Hybritech Inc., San Diego, CA).

Data Analysis The ELISA results were analyzed using the data reduction packages included in the Labsystems Multiskan microplate ELISA reader (Labsystems, Helsinki, Finland) with cubic spline (smoothed) curve fit.

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The analysis of differences between IGF-I and IGFBP-3 concentrations in the two groups of subjects was performed with nonparametric Mann-Whitney U test. Association of IGF-I and IGFBP-3 variants in serum with the other continuous parameters was examined using Spearman correlation. Receiver operating characteristics (ROC) curves were plotted as 1- Specificity (1 - [true negatives/true negatives + false positives] on the *x axis*) versus sensitivity (true positives/true positives + false negatives on the *y axis*) and the areas under the ROC curves (AUC) were calculated. Univariate and multivariate unconditional logistic regression models were developed to evaluate the ability of IGF-I and IGFBP-3 levels to predict the presence of prostate cancer. The plots were established by StatView (Abacus Concepts Inc, Berkeley Ca 94704-1014). The statistical analysis was performed by SigmaStat (Superior Performing Software Systems Inc, Chicago IL 60606-9653) and SAS (SAS Institute, Cary, NC 27513).

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IGF system components in BPH vs CaP In serum samples from a group of subjects with total PSA in the range of 1.75-13.5 μ g/L we identified significantly higher IGF-I and intact IGFBP-3 levels in those with CaP than BPH ($p<0.001$), while changes in fragment and total IGFBP-3 were statistically insignificant (Table 1).

Table 1. Descriptive statistics of measured variables

Variable*	BPH Subjects			CaP subjects			P
	Mean	SE	Range	Mean	SE	Range	
(t) PSA	5.04	0.168	2.61 - 12.1	4.85	0.200	13.5 - 1.75	0.173
(f) PSA	1.01	0.056	0.31 - 3.15	0.757	0.049	0.15 - 2.59	<0.001
IGF-I	101.2	5.45	10.9 - 220	126.6	4.89	28.0 - 218	<0.001
(i) BP-3	1.12	0.072	0.14 - 2.71	1.48	0.068	0.32 - 2.78	<0.001
(f) BP-3	3.62	0.192	1.4 - 9.8	3.39	0.168	1.19 - 7.81	0.257
(t) BP-3	2.07	0.061	0.81 - 2.91	2.22	0.061	1.17 - 4.10	0.091

*Values for total (t) PSA, free (f) PSA, IGF-I are in $\mu\text{g/L}$; intact (i) IGFBP-3 (BP-3), fragment (f) IGFBP-3 and total (t) IGFBP-3 are in mg/L

SE = Standard error of the mean; P = probability

In these samples, the mean (\pm SE) IGF-I and intact IGFBP-3 levels were $101.2 \pm 5.45 \mu\text{g/L}$ (range 10.9-220) and $1.12 \pm 0.072 \text{ mg/L}$ (range 0.14 – 2.71) in BPH, and $126.6 \pm 4.89 \mu\text{g/L}$ (range 28-218) and $1.48 \pm 0.068 \text{ mg/L}$ (range 0.32-2.78) in CaP patients. As expected (36), the total PSA levels were relatively similar, while free PSA showed statistically significant differences, and were $1.01 \pm 0.056 \mu\text{g/L}$ (range 0.31 – 3.15) and $0.757 \pm 0.049 \mu\text{g/L}$ (range 0.15 – 2.59) in BPH and CaP patients, respectively.

Differences in IGF-I and intact IGFBP-3 levels in BPH vs CaP were further evident in percentile distribution plots, which for the most part identified clear separation between the two groups of patients. Only at the high end of the distribution plots, the IGF-I as well as the intact IGFBP-3 levels in BPH and CaP appeared to overlap. The percentile plots of the free PSA levels demonstrated a similar but inverse pattern in relation to BPH vs CaP patients (Figure 1). Percentile plots for total IGFBP-3, fragment IGFBP-3 and total PSA were non-discriminative and showed complete overlap throughout the range of the measurements (data not shown).

In comparative correlation analysis (Spearman), neither IGF-I nor IGFBP-3 variants correlated significantly with the corresponding total or free PSA levels detected in CaP subjects (Table 2). Similarly, IGF-I and IGFBP-3 variants did not demonstrate any significant correlation in relation to the total PSA levels in BPH (Table 2). In contrast, BPH levels of IGF-I, intact and total IGFBP-3 showed negative correlation (Spearman correlation) vs the corresponding free PSA levels ($R = -0.261 - 0.351$, $p = 0.024 - < 0.001$) (Table 2). Similar results were obtained when the data were subjected to linear regression analysis by the Least-Squares method, and correlation coefficient determined by the Pearson method (Figure 2A-2C). Interestingly, in BPH and CaP patients, IGF-I levels correlated more tightly with intact IGFBP-3 than with total IGFBP-3, while fragment IGFBP-3 was negatively related to IGF-I in BPH and not at all in CaP (Figures 3A-3C and 4A-4C).

Table 2 . Correlation matrix for IGF-I and IGFBP-3 comparison to PSA*

Comparative method	BPH subjects			
	IGF-I	(i) BP-3	(f) BP-3	(t) BP-3
(t) PSA				
r	-0.203	-0.126	-0.109	-0.167
p	0.083	0.286	0.359	0.158
(f) PSA				
r	-0.261	-0.308	-0.096	-0.351
p	0.025	0.008	0.418	0.0025
CaP subjects				
(t) PSA				
r	0.0192	-0.069	0.021	-0.004
p	0.864	0.540	0.857	0.974
(f) PSA				
r	-0.058	-0.190	0.019	-0.083
p	0.604	0.0821	0.866	0.462

*Spearman correlation

Calculated parameters The inverse relation of IGF-I and intact IGFBP-3 versus free PSA, and

their apparent disease-dependent associations, prompted evaluation of various concentration ratios for their discriminating ability. We examined a number of different permutations and identified the following ratios as the most discriminative:

- 5 a) IGF-I/free PSA,
- b) intact IGFBP-3/free PSA,
- c) (IGF-I/total IGFBP-3)/free PSA,
- d) (intact IGFBP-3/total IGFBP-3)/free PSA, and
- e) (IGF-I + intact IGFBP-3)/free PSA.

10 As shown in Figures 5 and 6, the median values for the above ratios were significantly different in BPH vs CaP subjects, and were 94.91 and 185 (Fig. 5A), 0.85 and 2.09 (Fig. 5B), 56.26 and 82.4 (Fig. 5C), 0.489 and 0.938 (Fig. 6A), 0.978 and 2.361 (Fig. 6B). As previously reported (36, 37), the median values for free/total PSA ratio was lower in CaP than in BPH subjects and were 0.144 and 0.202 respectively (Fig. 6C).

15 Although the observed differences were all highly significant ($p < 0.001$), the medians for the new parameters showed an increase of 1.46 fold (for IGF-I/total IGFBP-3)/free PSA ratio) to 2.46 fold (for intact IGFBP-3/free PSA) in CaP vs BPH subjects. The free/total PSA ratio showed a relative change of only 1.39 fold. Thus, the above ratios demonstrated increased ability to discriminate between BHP and CaP patients who have PSA levels in the gray-zone.

20 **Receiver operating characteristic curves** In attempts to better define the cancer differentiating potential of the measured and calculated parameters, receiver operating characteristics curves (ROC) were constructed. As shown in Table 3, ratios demonstrated better discriminating powers than the individual variables.

Table 3. Characteristics of ROC curves for measured and calculated parameters

Function*	AUC	SE	P	95% CI of Area
(f) PSA/(t) PSA	0.689	0.0425	<0.0001	0.605 to 0.772
IGF-I	0.655	0.0438	0.0002	0.569 to 0.741
IGF-I/(t) PSA	0.646	0.0445	0.0005	0.559 to 0.733
IGF-I/(f) PSA	0.728	0.0404	<0.0001	0.649 to 0.808
IGF-I/(t) BP-3	0.609	0.0460	0.0088	0.519 to 0.699
IGF-I/(t) BP-3/(t) PSA	0.617	0.0453	0.0049	0.528 to 0.706
IGF-I/(t) BP-3/(f) PSA	0.725	0.0405	<0.0001	0.646 to 0.805
(i) BP-3	0.663	0.0435	<0.0001	0.578 to 0.749
(f) BP-3	0.553	0.0463	0.1266	0.462 to 0.644
(t) BP-3	0.565	0.0461	0.0791	0.475 to 0.655
(i) BP-3/(f) PSA	0.737	0.0404	<0.0001	0.584 to 0.754
(i) BP-3/(t) BP-3	0.685	0.0427	<0.0001	0.601 to 0.769
(i) BP-3/(t) BP-3/(f) PSA	0.747	0.0395	<0.0001	0.670 to 0.824
IGF-I + (i) BP-3	0.670	0.0432	<0.0001	0.585 to 0.754
(IGF-I + (i) BP-3)/(f) PSA	0.733	0.0405	<0.0001	0.653 to 0.812
IGF-I X (i) BP-3	0.669	0.0436	<0.0001	0.584 to 0.755
IGF-I X (i) BP-3/(f) PSA	0.710	0.0419	<0.0001	0.600 to 0.768

*Abbreviation are described in the footnote to Table 1.

Ratios involving total PSA or fragment IGFBP-3 were least discriminating, while those based on IGF-I, intact IGFBP-3 and free PSA appeared most discriminating. Compared to the currently used free/total PSA (AUC, 0.689; 95% CI, 0.605-0.772), several permutations, particularly the ratios of (intact IGFBP-3/total IGFBP-3)/free PSA, intact IGFBP-3/free PSA, (IGF-I + intact IGFBP-3)/free PSA, IGF-I/free PSA, and (IGF-I/total IGFBP-3)/free PSA demonstrated better discriminative potential (Table 3 and Figures 7-10) than the prior art methodology.

Among these, the (intact IGFBP-3/total IGFBP-3)/free PSA (AUC, 0.747; 95% CI, 0.670-0.824) and intact IGFBP-3/free PSA (AUC, 0.737; 95% CI, 0.585-0.745) ratios, involving intact IGFBP-3, had better differentiating power than the IGF-I/free PSA ratio (AUC, 0.728; 95% CI, 0.649-0.808). The ratios of (IGF-I + intact IGFBP-3)/free PSA (AUC, 0.733; 95% CI, 0.653-

0.812) and (IGF-I/total IGFBP-3)/free PSA (AUC, 0.725; 95% CI, 0.646-0.805) were also found to have potential.

We also examined other functions involving IGF-I, intact IGFBP-3 and free PSA (logarithmic ratios, difference), but none appeared promising. The comparative ability of IGF-I/free PSA, intact IGFBP-3/free PSA, and free/total PSA ratio in differentiating between BPH and CaP patients at selected cut-off values is summarized in Table 4. The observation that the IGF-I/free PSA or intact IGFBP-3/free PSA ratio could be used to significantly improve the specificity of CaP detection is of particular importance as it complements the free/total PSA testing, which has a problem of a poor cancer detection specificity. Obviously, the inverse relation of IGF-I/free PSA or intact IGFBP-3/free PSA relative to free/total PSA testing in terms of limits of sensitivity versus specificity could potentially further enhance the diagnostic differentiation between CaP and BPH. As has been demonstrated for this particular application, the present findings further exemplify the significant clinical potential of combination testing of biomarkers that respond inversely to pathophysiological changes. The combined testing of such variables followed by data analysis by appropriate statistical manipulation may obviously help enhancing the diagnostic accuracy of disease versus non-disease identification.

Table 4. Comparison of sensitivity vs specificity at selective cut-off points^a

Parameter	Cut-off value**	Sensitivity(%)	Specificity (%)	CaP value
(f) PSA /(t) PSA	0.419	100	2.7	<cut-off
	0.28	95	17	<cut-off
	0.24	90	27	<cut-off
IGF-I/(f) PSA	410	100	14	>cut-off
	273	95	29	>cut-off
	264	90	29	>cut-off
(i) BP-3/(f) PSA	5200	100	13	>cut-off
	3500	95	29	>cut-off
	3100	90	34	>cut-off

^aData from ROC curves

*Abbreviation are described in the footnote to Table 1.

**Values throughout for total (t) PSA, free (f) PSA, IGF-I are in $\mu\text{g}/\text{L}$; intact (i) IGFBP-3 (BP-3), fragment (f) IGFBP-3 and total (t) IGFBP-3 are in mg/L . All units are changed to mg/L and the cut-off value is therefor unitless in this table.

Univariate and multivariate analysis. We developed univariate and multivariate logistic regression models in attempts to further demonstrate the cancer predictive values of the new determinants. As shown in Table 5, increased levels of IGF-I/free PSA and intact IGFBP-3/free PSA ratios were found to be associated with increased probability for cancer.

Table 5. Univariate analysis for predicting presence of CaP using unconditional logistic regression modeling

Covariate*	Crude risk ratio	95% C.I.	p-value**
(t) PSA	0.93	0.77-1.13	0.48
(f) PSA	0.28	0.12-0.61	0.0016
(f) PSA/(t) PSA	0.20	0.042-0.83	0.0038
IGF-I	2.57	1.16-5.73	0.02
IGF-I/(f) PSA	6.71	3.34-13.1	<0.001
(i) BP-3	2.63	1.52-4.55	<0.001
(t) BP-3	1.68	0.91-3.05	0.093
(i) BP-3/(t) BP-3	2.19	1.25-3.80	0.003
(i) BP-3/(f) PSA	3.30	1.82-5.90	<0.001
Age	0.99	0.94-1.04	0.62

*Abbreviation are described in the footnote to Table 1.

** Test for trend

In multivariate analysis as shown in Tables 6 and 7, IGF-I and IGFBP-3-based variables were considered separately because of the strong correlation between these parameters as well as to show their separate relation to PSA measurement. These regression models were adjusted for IGF-I/free PSA or intact IGFBP-3/free PSA, as well as for total PSA, free/total PSA, and age, all of which were considered as continuous variables. These models identified both IGF-I/free PSA (crude risk ratio = 2.8, 95% CI = 1.72 - 4.61, p <0.001) and intact IGFBP-3/free PSA (crude risk ratio = 1.66, 95% CI = 1.18 - 2.34, p <0.004) as independent factors in predicting the presence of CaP and thus, highly useful for differentiating between CaP and BPH patients. In both cases, free/total PSA ratio appear to significantly improve the predictive power of the indicator ratios in these multivariate models (Table 6 and 7).

Table 6. Multivariate analysis for predicting presence of CaP using unconditional logistic regression modeling

Covariate*	Crude risk ratio	95% C.I.	p-value**
(t) PSA	1.05	0.84-1.32	0.66
(f) PSA/(t) PSA	0.30	0.15-0.66	0.002
IGF-I/(f) PSA	2.80	1.72-4.61	<0.001
Age	1.0	0.97-1.10	0.28

*Abbreviations are described in the footnote to Table 1.

** Test for trend

Table 7. Multivariate analysis for predicting presence of CaP using unconditional logistic regression modeling

Covariate*	Crude risk ratio	95% C.I.	p-value**
(t) PSA	1.06	0.83-1.33	0.65
(f) PSA/(t) PSA	0.39	0.16-0.82	0.016
(i) BP-3/(f) PSA	1.66	1.18-2.34	0.0035
Age	1.03	0.96-1.09	0.38

*Abbreviations are described in the footnote to Table 1.

** Test for trend

Discussion IGFs are endocrine, paracrine, and autocrine hormone that play significant roles in cellular growth and differentiation (3, 4). Although cumulating evidence has implicated involvement of the IGF system in cellular carcinogenesis (3, 4, 11-13, 18-22), the proposed association has not been invariably confirmed. In case of the most intensely investigated prostate cancer, earlier data identified little or no difference in serum IGF-I in CaP vs normal patients (18). In addition, the high IGF-I levels as occur in acromegaly are reportedly associated with BPH but not CaP (23, 24). These differences may partly be due to the effects of population and IGF assay differences (18), and partly because acromegaly arguably results from exposure to a relatively balanced increase in the levels of both IGF-I and its major binding protein IGFBP-3, with little change in IGF-I/IGFBP-3 ratio (17, 46).

In contrast, prostate carcinogenesis might be more closely dependent on dysregulation of the IGF system, resulting in over-expression of the effector IGF-I physiology (15, 23). Predictably, regulation of the IGFs' action depends on the integrated effects of the systemic/locally produced IGFs, IGFBPs, various cell surface receptors and proteases that cleave IGFBPs and, thus, modulate their bioactivities. The profound effects of diseased/tissue-associated physiological changes and factors such as nutrition, genetics and aging on the rate of IGFs' production (3, 4, 17, 47, 48, 49) further compound this complexity. As the systemic levels of IGFs could be influenced by multiple variables, results of serum determinations may not consistently reflect disease status as long as the masking effects of non-disease influences are not carefully considered. The latter may be exemplified by the reported failure of IGF-I association with prostate and breast cancer risk in older individuals (28, 29).

Consistent demonstration of IGFs' involvement with cancer may be even more complex if dysregulation of the bioactive (tissue accessible) form of the IGFs is the most important determinant of outcome. As the bioactive form of IGFs may be difficult to accurately quantify (50), approaches involving analysis of the IGFs, relevant IGFBPs and/or various permutations thereof may better represent changes in the dynamics of the IGF regulation. The predictive power of such analytical indicator(s) could be further strengthened by their inclusion in multivariate analysis that could also involve determinations of tissue and/or tumor-specific (associated) markers.

Based on the above rational, we measured serum IGF-I and IGFBP-3 in a group of patients with BPH or CaP and who had total PSA in the diagnostic "gray zone" range. Of major interest was the investigation of intact, fragment, and total IGFBP-3 levels, as indicator of cancer-associated proteolysis, which has been long implicated in etiology of various malignancies (13, 14, 51). Among the key findings were identification of significantly higher levels of IGF-I and intact IGFBP-3 in CaP patients ($p < 0.001$), while changes in fragment and total IGFBP-3 were statistically insignificant. The observed differences were further evident in percentile distribution plots, which consistently identified higher percentages of patients with CaP at a given IGF-I or intact IGFBP-3 level, except at the high

end where the plots overlapped and, similar to those for fragment and total IGFBP-3, became non-discriminative. Confirming previous observations (36, 37), the total PSA levels were similar in the two group of patients ($p = 0.173$), while the free PSA levels were significantly lower in CaP ($p < 0.001$).

5 In comparative correlation analysis, free PSA showed negative correlation with levels of IGF-I and IGFBP-3 (intact and total) in BPH but not in CaP patients. In contrast, no correlations were found in comparisons involving total PSA. The latter may be expected as the total PSA levels quantified include its catalytically active form, which is reportedly inactivated upon release by complex formation with protease inhibitors, particularly alpha-1-antichymotrypsin (ACT) (52-54).
10 The free form of PSA, amounting to about 10-40% of the total levels (55), is considered catalytically inactive (52-54), which our findings appear to confirm, but for CaP only. The reported higher levels of PSA binding to ACT in CaP (52-55) would theoretically protect against potential IGFBP-3 proteolysis. However, the inverse relation of free PSA with IGF-I or IGFBP-3 in BPH suggests
15 circulation of at least a proportion of free PSA in catalytically active form in the BPH patient. This is consistent with a recent report suggesting that internal cleavage (nick) of PSA in BPH patients may be responsible for PSA's relatively lower binding to ACT as well as its lower chymotrypsin-like activity in comparison to seminal plasma PSA. Interestingly, PSA in BPH patients and in seminal plasma from normal individuals had similar trypsin-like catalytic activity (54).

20 Whether the trypsin-like activity of free PSA in BHP patients and its inability to efficiently complex with ACT is responsible for its inverse relation to IGF-I and IGFBP-3 as demonstrated here remains to be investigated. Theoretically, IGFBP-3 proteolysis would lead to IGF dissociation, facilitating clearance and/or tissue uptake of both IGF-I and IGFBP-3 fragments and thus, a relative reduction in IGF-I and IGFBP-3 levels with increasing PSA. Our data suggest that blocking of a proportionally higher levels of catalytically active PSA by ACT, or other inhibitors, might be partly
25 responsible for the higher systemic IGF-I and IGFBP-3 levels in CaP. This combined with possible increases in prostatic IGF-I and IGFBP-3 production may further account for their elevated levels

in CaP patients. The inhibition of PSA action in cancer could reportedly involve both liver and prostatic sources of ACT (53). Whether enhanced inhibition of IGFBP-3 proteolysis at local prostatic levels would favor enhancement (or inhibition) of tumor growth will have to be clarified.

5 We recently reported significant association of high IGFBP-3 levels in primary breast tumor extracts with unfavorable prognostic indicators of the disease (56), and more recently found that in breast nipple aspirate fluid (NAF) IGFBP-3 levels were directly and IGFBP-3 fragment levels were inversely related to breast cancer risk (57). IGFBP-3 levels in NAF were also inversely associated with PSA. It is also noteworthy that anti-proliferative (apoptotic) properties of IGFBP-3, including ability of IGFBP-3 fragments to inhibit the mitogenic effects of IGF-I have been described (8-14).

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Identification of markers with inverse relation in CaP (i.e. IGF-I and intact IGFBP-3 vs free PSA) prompted the examination of several concentration ratios and measurement permutations in relation to PSA. Among the various possibilities, permutations of total IGF-I/free PSA, intact IGFBP-3/free PSA, (IGF-I/total IGFBP-3)/free PSA, (intact IGFBP-3/total IGFBP-3)/free PSA, and (IGF-I + intact IGFBP-3)/free PSA appeared most promising. By ROC analysis, determination of total IGF-I/free PSA and intact IGFBP-3/free PSA demonstrated better discriminating potential than the currently used free PSA/total PSA ratio. Although several other permutations, notably the ratio of (intact IGFBP-3/total IGFBP-3)/free PSA showed even better discriminative power, the relative improvement may not be significant enough to warrant inclusion of a third measurement component.

20 The potential of growth factor/tumor marker permutations were further confirmed by multivariate analysis, which identified IGF-I/free PSA and intact IGFBP-3/free PSA as independent parameters for discriminating between BPH and CaP. As shown in Table 4, at a cutoff value of 0.28, the free/total PSA ratio identified 95% of cancer patients (false negative rate of 5%) with a specificity of 17% (false positive rate of 83%), confirming previous reported observations (36, 37). On the other hand, the IGF-I/free PSA ratio at a cut-off value of 273, identified 29% of cancer patients with a
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specificity of 95%. Intact IGFBP-3/free PSA at a cut-off value of 3500 also detected 29% of patients with cancer with specificity of 95%. Because the free/total PSA ratio significantly improves the predictive power of the above multivariate models, IGF-I/free PSA or intact IGFBP-3/free PSA ratios could potentially further enhance the diagnostic differentiation between CaP and BPH. As 5 described earlier, the observation that the IGF-I/free PSA or intact IGFBP-3/free PSA ratio could be potentially used to significantly improve the specificity of CaP detection is of particular importance as it complements the free/total PSA testing, which has a problem of poor cancer detection specificity.

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In summary, in a group of subjects with total PSA in the diagnostic gray-zone, we identified significantly higher IGF-I and intact IGFBP-3 levels in those patients with CaP than those with BPH. Among several possibilities, the IGF-I/free PSA and intact IGFBP-3/free PSA ratios demonstrated potential for significant CaP diagnostic improvements. As the new permutations of markers appear to compliment traditional testing (Table 4), analysis of IGF-I/free PSA or intact IGFBP-3/free PSA may further enhance the diagnostic discrimination between BPH and CaP. Further, the inclusion of additional IGF axis parameters, such as IGFBP-2, or studies of increased sample size may still further improve the discriminating power of the indicator ratios.

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To our knowledge, this represents the first attempt in establishing the potential utility of IGFs and/or IGFBPs testing in relation with a tumor associated biomarker. We believe that the idea has general applicability in human cancer diagnostics and could be readily evaluated to involve other representatives of the IGF system (e.g. IGF-I and IGF-II, and IGFBP-1-9 and IGFBP related proteins). In light of these findings, application of such vertical (e.g., IGF-I/intact IGFBP-3 or IGF-I/IGFBP-2) or lateral (e.g. IGF-I/free PSA or IGFBP-2/free PSA) marker permutations may prove valuable in differential diagnosis of human cancer in general and prostate cancer in particular.

Example 2.

As indicated above, the ratio of IGF-I/free PSA or intact IGFBP-3/free PSA in relation to CaP vs BPH (see Table 4) can be used as a significantly better predictive of BPH than is the currently used ratio of free/total PSA, which has a proven specificity problem. Based on the above findings, we predict that determinations of additional IGF axis components in various permutations with PSA or other tumor markers may further enhance discrimination between various cancer and benign conditions.

In addition to PSA, the kallikreins might represent a tumor marker that can be used in various permutations with IGF axis components to enhance the discriminating power of diagnostic tests. PSA and kallikreins, such as human kallikrein 2 (hK2), are members of a multigene family of serine proteases that share up to 80% sequence homology. Further, the kallikreins have been shown to be expressed in various biological fluids of normal or malignant origins (58). For example, PSA and hK2 have been detected in serum and/or tissue extract of patients with breast (59) and lung cancers (60), as well as in those with prostate cancer. Thus, we further speculate that determination of ratios of IGFs (free or total IGF-I, or IGF-II)/kallikrein or intact IGFBP-3 or other IGFBPs/kallikrein could have potential utility in the detection of various cancers.

For example, because high IGF-I levels are also associated with breast, and lung cancers, one might predict that the indicator ratios described herein (with or without a tumor marker such as PSA or a kallikrein) would also be useful predictors for these conditions. In contrast, over-expression of both IGF-I and IGF-II has been linked to colorectal cancers. Thus, it might be predicted that and IGF-I X IGF-II or IGF-I + IGF-II, alone or taken together with a measurement of a suitable tumor marker (such as CA-19.9), would provide increased predictive power of colorectal cancers over current methodologies.

Similarly we predict that determination of the ratios of IGFs (IGF-I or IGF-II) or IGFBPs

(IGFBP-1-6 and/or IGFBP-rP-1-9) in relation to PSA or kallikrein proteins (or other tumor markers) would have increased discriminating power in the differential diagnosis of prostate, breast and lung and possibly other cancers. As exemplified for prostate cancer, determination of IGF/IGFBP ratios could be of significant clinical value in other human cancers, such as cancer of the breast, colorectal, 5 and lung cancers. Although a PSA-equivalent marker for these cancers has not been described as yet, we predict that measurement of the concentration ratio of IGF-I and/or IGF-II (free or total) in relation to IGFBPs (IGFBP-1, IGFBP-2, IGFBP-3, IGFBP-4, IGFBP-5, IGFBP-6) could be useful in differentiating cancerous from non-cancerous disease.

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We further predict that ratios of IGF-I or IGF-II (free or total) in relation to IGFBP related protein (IGFBP-rPs1-9) which share significant N-terminal homology with the classical IGFBPs (IGFBP-1-6), but bind IGFs with lower affinity, could be also of significant clinical value. To date up to nine different IGFBP-related binding proteins (IGFBP-rP-1-9) have been identified (5), and additional IGFBP-rPs will most probably be discovered. For example, in the case of the prostate cancer, ratios of IGF-I/IGFBP-rP-1, or ratio of intact IGFBP-3/IGFBP-rP-1 might prove to be very useful. The same may be also true for other human cancers which may benefit from determinations of ratios of IGF-I or IGF-II (free or total)/IGFBP-rPs. In this context, determinations of IGFBP-2/IGF-I, or IGFBP-2/IGF-II may be of significant clinical value in relation to colon cancer, while ratios of IGFBP-2/IGFBP-rP-1 might have diagnostic value in breast tumor.

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We further speculate that the *circulating* levels of the IGF superfamily of molecules (5) might be a surrogate marker (18) of the *tissue* levels (e.g. prostate, breast and colon) of these variables. If this prediction is true, then identification of markers with statistically significant but weak association with a given cancer at serum level (as a result of blood volume dilution effect) could lead to identification of markers with significantly stronger and more distinct association with the cancer at the tissue level. If the serum/tissue relation is correct, then measurement of the IGF axis components and one or more tumor markers at the tissue level (e.g.. by immunohistochemical staining and electron microscopy, or in tumor cell extracts) might be also highly beneficial.

Of course, we realize that this general approach of combining IGF axis component measurements together with a tumor marker measurement would require systematic evaluation of the effect of the various markers and their permutations as described above, using several of the currently available mathematical regression modeling. The latter could be readily performed in the hand of an expert statistician and a good computer software (such as that described above). Experiments are planned to test and confirm the above predictions.

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